



#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application Of: Alan R. Shealy

Group No.: 2161

Serial No.: 09/828,579

Docket No. 051207-1100

Filed: April 2, 2001

For: **BUSINESS SUPPORT AND CONTROL SYSTEM AND METHOD**

**RESPONSE TO MISSING PARTS NOTICE**

COPY OF PAPERS  
ORIGINALLY FILED

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, dated June 25, 2001, please find enclosed:

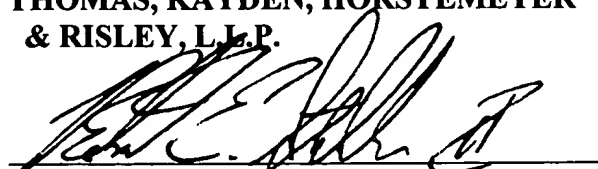
1. Declaration for Patent Application and Petition and Fee For Extension of Time;
  2. a copy of the Notice to File Missing Parts of Application, Filing Date Granted;
- and
3. a check in the amount of \$2,394.00 to cover the additional surcharge fee for filing this Response to the Missing Parts Notice.

The Commissioner is authorized to charge Deposit Account 20-0778 for any deficiencies or credit any over payments.

Respectfully Submitted,

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**

By:

  
Robert E. Stachler, II

Suite 1750, 100 Galleria Parkway  
Atlanta, Georgia 30339-5948  
(770) 933-9500

**CERTIFICATE OF MAILING**

I hereby certify that the below listed items are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231**

on 12-13-01

**COPY OF PAPERS  
ORIGINALLY FILED**

*Evelyn Sanders*  
Evelyn Sanders

In Re Application Of: Alan R. Shealy

Group No.: 2161

Serial No.: 09/828,579

Docket No. 051207-1100

Filed: April 2, 2001

For: **BUSINESS SUPPORT AND CONTROL SYSTEM AND METHOD**

The following is a list of documents enclosed:

Return Postcard  
Response to Missing Parts  
Declaration for Patent Application  
Copy of Notice of Missing Parts – Filing Date Granted  
Petition for Extension of Time  
Fee Transmittal  
Check in the amount of \$2,394.00

MissingPartsResp.doc

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**Complete If Known**

Application Number **09/828,579**  
 Filing Date **April 2, 2001**  
 First Named Inventor **Shealy**  
 Examiner Name **Not Yet Assigned**  
 Group / Art Unit **2161**  
 Attorney Docket No. **051207-1100**

TOTAL AMOUNT OF PAYMENT (\$ **2,394.00**)**METHOD OF PAYMENT**

- ☒ The Commissioner is hereby authorized to charge  
 Indicated fees and credit any overpayments to:

Deposit Account Number **20-0778**  
 Deposit Account Name **Thomas, Kayden, Horstemeyer Risley**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

**2. ☒ Payment Enclosed:**

☒ Check ☐ Money Order ☐ Credit Card ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$740
106	330	206	165	Design filing fee	\$
107	510	207	255	Plant filing fee	\$
108	740	208	370	Reissue filing fee	\$
114	160	214	80	Provisional filing fee	\$
SUBTOTAL (1)					(\$) <b>740.00</b>

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	20	-20** = 0	x 18.00 = 0
Independent Claims	4	-3** = 1	x 84.00 = 84
Multiple Dependent			140.00 =

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent Claims in excess of 3
104	280	204	140	Multiple dependent claims in excess of 3
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater. For Reissues, see above

SUBTOTAL (2) (\$)**84.00****FEE CALCULATION (continued)****3. ADDITIONAL FEES****COPY OF PAPERS  
ORIGINALLY FILED**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension of time within second month	
117	920	217	460	Extension of time within third month	
118	1,440	218	720	Extension of time within fourth month	1,440
128	1,960	228	980	Extension of time within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (time number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **1,570.00****SUBMITTED BY**

Typed or Printed Name

**Robert E. Stachler, II**Registration No. **36,934**  
(Attorney/Agent)

Telephone Number

**770-933-9500**

Signature

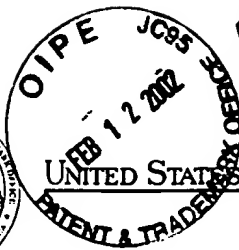
Date

**12/13/01**

Deposit Account User ID

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

See for  
#4

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,579	04/02/2001	Henning Engelien	51207-1100

CONFIRMATION NO. 6598

24504  
THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP  
100 GALLERIA PARKWAY, NW  
STE 1750  
ATLANTA, GA 30339-5948

## FORMALITIES LETTER



\*OC00000006222889\*

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 06/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b).

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

02/15/2002 HNCNANN1 00000108 09828579

01 FC:101	740.00 OP
02 FC:102	84.00 OP
03 FC:105	130.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

HORSTEMEYER &amp; RISLEY, LLP

JUN 27 2001